

**CITY OF BRISBANE  
CASH BALANCES & INVESTMENTS  
SOURCE OF FUNDING  
August 31, 2012**

NAME OF DEPOSITORY	INVESTMENT TYPE	DATE OF INVESTMENT	FACE VALUE OF INVESTMENT	CARRY VALUE OF INVESTMENT	MARKET VALUE OF INVESTMENT	COUPON INTEREST RATE %	MATURITY DATE	RATING/ COLLATERAL
BANK OF AMERICA	Checking A/C		\$ 1,322,441	\$ 1,322,441	\$ 1,322,441	0.000		100% collateral
BANK OF AMERICA	Savings		\$ 84,652	\$ 84,652	\$ 84,652	0.080		
STATE FUND (LAIF)	Deposit on call	continuous	\$ 7,016,672	\$ 7,016,672	\$ 7,016,672	0.380	on call	no rating
<b>Other Investments</b>								
	FFCB	12/7/2011	\$ 500,000	\$ 500,000	\$ 501,115	1.330	12/7/2015	
	FNMA	12/7/2011	\$ 500,000	\$ 500,000	\$ 501,215	1.550	11/16/2016	
BONY	Treasury Obligations	continuous	\$ 7,200	\$ 7,200	\$ 7,200	0.000	on call	110% collateral
Sub-total			\$ 1,007,200	\$ 1,007,200	\$ 1,009,530			
U. S. Trust (Cash held by fiscal agents)	2012 Utility (320)	Refinancing	Fed Treas Obl	\$ 3,747,624	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest Fund	Fed Treas Obl	\$ -	10037			
	Pension Bonds (340)	Expense Fund	Fed Treas Obl	\$ 2	10035			
	BFPA 2001 Series B (375)	Purchase Fund	Fed Treas Obl	\$ 5,145	10028			
		Escrow	Fed Treas Obl	\$ -	10030			
		Reserve	Fed Treas Obl	\$ 804,051	10032			
		Surplus Fund	Fed Treas Obl	\$ -	10033			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
		Interest	Fed Treas Obl	\$ 913,921	10037			
	BPFA 2009A City Hall (367)	Improvements	Fed Treas Obl	\$ 1	10031			
		Reserve	Fed Treas Obl	\$ 193,272	10032			
		Revenue Fund	Fed Treas Obl	\$ 465,043	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest	Fed Treas Obl	\$ -	10037			
	BPFA 2005B City Hall(366)	Improvements	Fed Treas Obl	\$ 0	10031			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Interest	Fed Treas Obl	\$ -	10037			
	2005 Refunding (365)	Improvements	Fed Treas Obl	\$ -	10030			
		Revenue Fund	Fed Treas Obl	\$ -	10034			
		Expense Fund	Fed Treas Obl	\$ -	10035			
		Debt Service	Fed Treas Obl	\$ -	10036			
	NER Assessment 2001 (795)		Fed Treas Obl	\$ -	10030			
		Improvements	Fed Treas Obl	\$ -	10031			
		Reserve	Fed Treas Obl	\$ 354,651	10032			
		Redemption	Fed Treas Obl	\$ 485,229	10039			
Sub-total	Cash with Fiscal Agents			\$ 6,968,939				
Total other investments			\$ 1,007,200	\$ 7,976,139	\$ 1,009,530			
<b>TOTAL INVESTMENTS &amp; CASH BALANCES</b>			<b>\$ 9,430,965</b>	<b>\$ 16,399,904</b>	<b>\$ 9,433,295</b>			

**Outstanding Loans to Department Heads**

	Date of loan	Amount	Amount Remaining	Interest Rate
Stuart Schillinger	4/1/2002	318,750	\$ 318,750	Based on Sales Price
Clay Holstine (1)	7/8/2008	300,000	\$ 277,731	4.180%
Clay Holstine (2)	9/10/2008	200,000	\$ 200,000	Secured by other funds
Randy Breault	10/22/2001	320,000	\$ 255,020	Variable (1.39%)
Ted Warburton	7/31/2003	268,303	\$ 268,303	Based on Sales Price


FFCB - Federal Farm Credit Bank  
FHLB - Federal Home Loan Bank  
FHLM - Federal Home Loan Mortgage Corporation  
FNMA -Federal National Mortgage Association

Two year Treasury 0.21%  
Weighted Interest 0.44%  
Weighted maturity 0.39 Years

**TREASURER'S CERTIFICATE**

These are all the securities in which the city funds including all trust funds and oversight agencies funds are invested and that (excluding approved deferred compensation plans) and that all these investments are in securities as permitted by adopted city policy.

It is also certified that enough liquid resources (including maturities and anticipated revenues) are available to meet the next six months' cash flow.

  
CITY TREASURER

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FUND NO.	FUND TITLE	CASH/INVESTMENT BALANCE
100	General Fund	2,926,840
200	Gas Tax Fund	233,586
201	Traffic Congestion Relief Fund	37
203	Proposition 1B	-
205	Measure A Funds	373,471
210	Sierra Pt. Lighting & Landscape Fund	(359,808)
220	NPDES	89,324
230	Open Space Fund	-
250	OTS Grant	22,524
251	L.L.E.B.G.	27,184
252	Technology Grant	-
253	COPS/State Funded Personnel Grant	-
254	SLESF Grant	(18,615)
265	Public Works Grant	0
257	BZPP Grant	-
258	Recycling Grant	-
259	PEG Equipment Grant	54,683
268	Contract Employees	15,607
270	Fire Training	3,131
310a	2002 Utility Bond	2,100
310	2002 Utility Bond w/Fiscal Agent	3,747,624
320a	2012 Utility Bond	(202,624)
320	2012 Utility Bond w/Fiscal Agent	-
340	Pension Obligation Bonds	-
340a	Pension Obligation Bonds w/ Fiscal Agent	2
360	COP 95 Debt Service	-
365a	Refunding 2005 w/Fiscal Agent	-
365	Refunding 2005	-
366	BPFA 2005 B City Hall	-
366a	BPFA 2005 B City Hall w/Fiscal Agent	0
367	BPFA 2009 A City Hall	(187,329)
367a	BPFA 2009 A City Hall w/Fiscal Agent	658,316
375a	BPFA 2001 Series B	263,301
375	BPFA 2001 Series B held with Fiscal Agent	1,723,117
399	General Longterm Debt	-
400	Capital Project Labor	280,760
410	Tunnel Bridge	(730,421)
430	LID 79-1 Construction Fund	-
440	Special Beautification Proj.Fund	5,057
450	Facilities Fees	563,627
540	Utility Fund	(618,071)
545	Utility Capital Fund	136,892
550	Parks and Recreation Fund	(1,358,996)
555	Parks and Recreation Capital Reserve Fund	1,892,633
600	Fringe Benefits Fund	9,536
610	Flexible Benefits Trust	123,302
620	Dental Insurance Fund	123,064
630	General Liability Insurance Fund	661,625
640	Workers Compensation Insurance Fund	127,996
650	Retiree Stipend	(13,166)
690	Rainy Day Fund	3,758,899
700	Event Insurance	856
705	Professional Organizations	(954)
715	NER/Landmark Trust	31,983
722	Brisbane Recycling	6,376
725	Playground Equipment	72,888
730	Open Space	100
750	Sister City Fund	830
751	Piano Fund	202
760	OPUS Permits/Fees	6,005
765	OPUS Development Trust	39,509
768	HCP Endowment Trust	825,576
770	Revolving -I N.E.R.	-
775	Revolving II S.P. & TUNTEX	203,794
777	Margaret/Paul	15,109
780	Baylands Revolving	116,096
781	Baylands EIR	(512,108)
782	Geneva/Candlestick	4,136
785	Quarry Reimbursement	(148,012)
786	Slough Estates	5,926
787	Opus - Sierra Pt	-
790	Hotel Reimbursement	1,954
795a	NER Assessment	166,600
795	NER Assessment w/Fiscal Agent	839,881
	Outstanding checks/deposits/adjustments	387,950
TOTAL CASH RESOURCES		16,399,904

**CITY OF BRISBANE SUCCESSOR AGENCY  
CASH BALANCES & INVESTMENTS  
SOURCE OF FUNDING  
August 31, 2012**

NAME OF DEPOSITORY	INVESTMENT TYPE	DATE OF INVESTMENT	FACE VALUE OF INVESTMENT	CARRY VALUE OF INVESTMENT	MARKET VALUE OF INVESTMENT	COUPON INTEREST RATE %
BANK OF AMERICA	Checking A/C -Successor Agency		\$ 3,700,981	\$ 3,700,981	\$ 3,700,981	0.000
U. S. Trust (Cash held by fiscal agents)	BFPA 2001 Series A (370)	Purchase Fund	Fed Treas Obl	\$ -	10028	
		Reserve Fund	Fed Treas Obl	\$ 2,064,995	10032	
		Surplus Fund	Fed Treas Obl	\$ -	10033	
		Revenue Fund	Fed Treas Obl	\$ -	10034	
		Expense Fund	Fed Treas Obl	\$ -	10035	
		Lease Pay Fd	Fed Treas Obl	\$ -		
	2005 Refunding (365)	Debt Service	Fed Treas Obl	\$ -	10036	
		Interest Fund	Fed Treas Obl	\$ -	10037	
		Improvements	Fed Treas Obl	\$ -	10030	
		Revenue Fund	Fed Treas Obl	\$ -	10034	
		Expense Fund	Fed Treas Obl	\$ -	10035	
		Debt Service	Fed Treas Obl	\$ -	10036	
	Housing Bond (983)	Reserve Fund	Fed Treas Obl	\$ -	10032	
		Debt Serv Fund	Fed Treas Obl	\$ -	10036	
		Interest Fund	Fed Treas Obl	\$ -	10037	
Sub-total	Cash with Fiscal Agents			\$ 2,064,995		
<b>TOTAL INVESTMENTS &amp; CASH BALANCES</b>			<b>\$ 3,700,981</b>	<b>\$ 5,765,976</b>	<b>\$ 3,700,981</b>	

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FUND NO.	FUND TITLE	CASH/INVESTMENT BALANCE
281	Redevelopment Proj. #1 Operating Fund	
282	Redevelopment Proj. # 2 Operating Fund	-
283	Redevelopment L & M Housing Fund	
365a	Refunding 2005 w/Fiscal Agent	-
365	Refunding 2005	-
370a	BFPA 2001 Series A	-
370	BFPA 2001 Series A held with Fiscal Agent	2,064,995
383a	Housing Bond Fund	-
383	Housing Bond-Reserve w/Fiscal Agent	-
881	Successor Agency for RDA 1	2,659,930
882	Successor Agency for RDA 2	64,282
883	Successor Agency for Housing Funds	6,155
884	RPTTF	985,138
983	Successor Agency Debt Service	-
	TOTAL CASH RESOURCES	<u><u>5,780,499</u></u>